

Implementation Stage Quality Assura

Form Status: In Progress	
Overall Rating:	
Decision:	
Portfolio/Project Number:	00106785
Portfolio/Project Title:	Promoviendo la integralidad de la justicia de transición
Portfolio/Project Date:	2017-09-01 / 2020-02-29

Strategic	Quality Rating:
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1. Is the project pro-actively identifying changes to the external environment and incorporating them into the project strategy?

- 3: The project team has identified relevant changes in the external environment that may present new opportunities and the assumptions have been tested to determine if the project's strategy is still valid. There is evidence that the project has documented any changes needed to the project in response. (all must be true)
- 2: *The project team has identified relevant changes in the external environment that may present new opportunities. There is some evidence that the project board discussed this, but relevant changes may not have been fully integrated.*
- 1: The project team may have considered relevant changes in the external environment since implementation began. The project has considered changes to the project as a result.

Evidence:

En el mes de agosto de 2019 se presentó ante el donante una solicitud de ampliación con costo del proyecto considerando el análisis de contexto e incorporándolos a la estrategia del proyecto. Por ejemplo, tomando en cuenta la institucionalización del AHPN, se incluyeron estrategias de trabajo para el fortalecimiento del MP para el uso del archivo ya que el uso de los archivos históricos sigue siendo importante para los objetivos del Proyecto. Se incluyó un nuevo producto 2.3 que está orientado a fortalecer las capacidades de la Unidad de Casos Especiales del Conflicto Armado Interno de la Fiscalía de Derechos Humanos del Ministerio Público, en el dominio de metodologías de investigación criminal para el uso y análisis de estos archivos históricos (entre otros) como elementos de prueba que contribuyen al esclarecimiento de graves violaciones a los derechos humanos.

List of Uploaded Documents	
#	File Name
1	001ProdocPBFJusticiadeTransicionFinal0ct2017_3512_201 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/001ProdocPBFJusticiadeTransicionFinal0ct2017_3512_201)

2. Is the project aligned with the UNDP Strategic Plan?

- 3: The project responds at least one of the development settings³ as specified in the Strategic Plan (SP) and adopts all the relevant SP output indicators. (all must be true)
- 2: *The project responds to one of the three areas of development work¹ as specified in the Strategic Plan. The project is relevant. (both must be true)*
- 1: While the project may respond to a partner's identified need, this need falls outside the UNDP Strategic Plan. All relevant needs are included in the RRF.

Evidence:

El proyecto responde al área de trabajo "Acelerar las transformaciones estructural es para el desarrollo sostenible" del Plan Estratégico 2018-2021 del PNUD a nivel global.

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No documents available.		

Relevant

Quality Rating:

3. Are the project's targeted groups being systematically engaged, with a priority focus on the discriminated and margin.

- 3: Systematic and structured feedback has been collected over the past two years from a representative sample of and marginalized, as part of the project's monitoring system. Representatives from the targeted groups are active i project board or equivalent) and there is credible evidence that their feedback informs project decision making. (all
- 2: Targeted groups have been engaged in implementation and monitoring, with a priority focus on the discriminate anecdotal, has been collected over the past year to ensure the project is addressing local priorities. This informatio must be true)
- 1: Some beneficiary feedback may have been collected over the past year, but this information has not been used selected if no beneficiary feedback has been collected.
- Not Applicable

Evidence:

El proyecto ha medido en cada uno de los resultados, un indicador de satisfacción de usuarios para cada tema relevante a través de escalas de grado de satisfacció n. Para cada grupo de población meta, se han distribuido encuestas de satisfacció n a un grupo representativo a dicha población.

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4. Is the project generating knowledge and lessons learned (i.e., what has worked and what has not) and has this knowledge continued relevance of the project towards its stated objectives, the quality of its outputs and the management of risk?

- 3: Knowledge and lessons learned from internal or external sources (gained, for example, from Peer Assists, After by credible evidence from evaluation, corporate policies/strategies, analysis and monitoring have been discussed i There is clear evidence that changes were made to the project to ensure its continued relevance. (both must be tr
- 2: Knowledge and lessons learned backed by relatively limited evidence, drawn mainly from within the project, hav evidence that changes were made to the project as a result to ensure its continued relevance. (both must be true)
- 1: There is limited or no evidence that knowledge and lessons learned have been collected by the project team. Th decision making.

Evidence:

Las lecciones aprendidas en la primera fase del proyecto fueron consideradas al momento de realizar una propuesta de extensión con costo al PBF por ejemplo, se incorporó un producto que abordará la temática de memoria histórica, justicia de tr ansición y cultura de paz con jóvenes a nivel comunitario. Esto se realizó, consider ando las lecciones aprendidas del proceso de difusión de mensajes para jóvenes.

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5. Is the project sufficiently at scale, or is there potential to scale up in the future. to meaningfully contribute to developr

- 3: There is credible evidence that the project is reaching a sufficient number of beneficiaries (either directly throug through policy change) to meaningfully contribute to development change.
- 2: While the project is currently not at scale, there are explicit plans in place to scale up the project in the future (e advocate for policy change).
- 1: The project is not at scale, and there are no plans currently to scale up the project in the future.

Evidence:

A través del proyecto se implementó un plan de acompañamiento técnico y monito reo del Pilotaje para la formación ciudadana en memoria histórica, derechos huma nos y paz, diseñado, validado e implementado en los centros educativos del Estad o priorizados. Este plan piloto se realizó en escuelas del sistema educativo nacion al de 7 departamentos -10 direcciones departamentales- con la participación de m ás de 600 docentes y 15,700 estudiantes. A partir de su exitosa realización, el MI NEDUC emitió el Acuerdo Ministerial 3181-2017, dando un paso importante hacia la institucionalización de la nueva Estrategia Nacional de Formación Ciudadana a nivel nacional.

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#	File Name
1	GTM00107551PBFIRF189ProJusticiaTransicionReporteAnual2019_3512_205 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/GTM00107551PBFIRF189ProJusticiaTransicionRe)

Principled **Quality Rating:**

6. Are the project's measures (through outputs, activities, indicators) to address gender inequalities and empower women evidence-based adjustments and changes have been made.

3: The project team has systematically gathered data and evidence through project monitoring on the relevance of empower women. Analysis of data and evidence were used to inform adjustments and changes, as appropriate. (b
 2: *The project team has some data and evidence on the relevance of the measures to address gender inequalities some adjustments were made, as appropriate. (both must be true)*
 1: The project team has limited or no evidence on the relevance of measures to address gender inequalities and e changes made. This option should also be selected if the project has no measures to address gender inequalities, activities.

Evidence:

El abordaje de género dentro del proyecto se encuentra transversalizado. Una buena parte de las sobrevivientes del EAI son mujeres de manera que la priorización en ellas ha sido necesaria a lo largo de la implementación del proyecto con ejemplos tales como: priorización de casos de violencia sexual contra las mujeres y los procesos de acompañamiento psicosocial con perspectiva de género.

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7. Are social and environmental impacts and risks being successfully managed and monitored?

- 3: Social and environmental risks are tracked in the risk log. Appropriate assessments conducted where required (for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified) developed for identified risks through consultative process and implemented, resourced, and monitored. Risks effective substantive change to the project or change in context that affects risk levels, the SESP is updated to reflect these changes.
- 2: Social and environmental risks are tracked in the risk log. Appropriate assessments conducted where required (for High risk projects and some level of social and environmental assessment for Moderate risk projects as identified) developed, implemented and monitored for identified risks. OR project is categorized as Low risk through the SESP.
- 1: Social and environmental risks have not been tracked in the risk log. For projects categorized as High or Moderate risk, social and environmental assessments have been completed and/or management plans or measures development, implemented to the project or changes in the context but SESP has not been updated. (any may be true)

Evidence:

Se realizo una evaluación de riesgos sociales y medioambientales a través del SESP y se clasificó al proyecto de bajo riesgo.

List of Uploaded Documents		
#	File Name	Mo
1	SESP00106785_3512_207 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/SESP00106785_3512_207.pdf)	ma

8. Are grievance mechanisms available to project-affected people and are grievances (if any) addressed to ensure any

- 3: Project-affected people have been actively informed of UNDP's Corporate Accountability Mechanism (SRM/SEC) High or Moderate Risk through the SESP, a project -level grievance mechanism is in place and project affected people effectively addressed in accordance with SRM Guidance. (all must be true)
- 2: Project-affected people have been informed of UNDP's Corporate Accountability Mechanism and how to access SESP, a project -level grievance mechanism is in place and project affected people informed. If grievances have been arriving at a resolution.
- 1: Project-affected people not informed of UNDP's Corporate Accountability Mechanism. If grievances have been r

Evidence:

Todos los riesgos y planes de mitigación son discutidos a través de la Junta de Coordinación Ejecutiva en donde se realiza lecturas de contexto, sin embargo no se han identificado riesgos sociales o ambientales.

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Management & Monitoring

Quality Rating:

9. Is the project's M&E Plan sufficient and adequately implemented?

- 3: The project has a comprehensive and costed M&E plan. Baselines, targets and milestones are fully populated. Information is being reported regularly using credible data sources and collected according to the frequency stated in the Plan. In evaluations conducted, if relevant, fully meet decentralized evaluation standards, including gender UNEG standards. After Action Reviews, are used to take corrective actions when necessary. (all must be true)
- 2: The project has a costed M&E Plan, and most baselines and targets are populated. Progress data against indicators although there may be some slippage in following the frequency stated in the Plan and data sources are not always most decentralized evaluation standards. Lessons learned have been captured but may not have been used to take corrective actions.
- 1: The project has an M&E Plan, but costs are not clearly planned and budgeted for, or are unrealistic. Progress data against the project's RRF. Evaluations may not meet decentralized evaluation standards. Lessons learned are rarely captured. The project does not have an M&E plan.

Evidence:

Como parte de las acciones de fortalecimiento que ha realizado el PBF, se encuentra un sistema de monitoreo y evaluación el cual incluye un plan de M&E, ficha técnica de indicadores y matriz de seguimiento de productos e indicadores. Este sistema permite contar con información de M&E contenida en un mismo sitio y actualizado de manera periódica permite informar a nivel gerencial de manera oportuna sobre los desafíos y/u oportunidades identificadas durante la implementación.

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#	File Name
1	PlandeMonitoreo2019ProyectoJusticiadeTransición_3512_209 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/PlandeMonitoreo2019ProyectoJusticiadeTransición)

10. Is project's governance mechanism (i.e., the project board or equivalent) functioning as intended?

- 3: The project's governance mechanism is operating well, and is a model for other projects. It has met in the agreed minutes of the meetings are on file. There is regular (at least annual) progress reporting to the project board or equivalent and the project board explicitly reviews and uses evidence, including progress data, knowledge, lessons and evaluation change in strategy, approach, work plan.) (all must be true to select this option)
- 2: The project's governance mechanism has met in the agreed frequency and the minutes of the meeting are on file project board or equivalent at least once in the past year, covering results, risks and opportunities. (both must be true)
- 1: The project's governance mechanism has not met in the frequency stated in the project document over the past year, not functioning as a decision-making body for the project as intended.

Evidence:

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11. Are risks to the project adequately monitored and managed?

- 3: The project has actively monitored risks every quarter including consulting with key stakeholders, including security and to assess if the main assumptions remain valid. There is clear evidence that relevant management plans and actions address each key project risk, and have been updated to reflect the latest risk assessment. (all must be true)
- 2: *The project has monitored risks every year, as evidenced by an updated risk log. Some updates have been made*
- 1: The risk log has not been updated as required. There may be some evidence that the project has monitored risk project's achievement of results, but there is no explicit evidence that management actions have been taken to mitigate environment, no consultation has occurred with the UNDP Security Office on appropriate measures.

Evidence:

Se han monitoreado los riesgos del proyecto con una periodicidad trimestral. Para ello existe una matriz de monitoreo que es actualizada a través de lecturas al contexto realizadas a lo interno del equipo y también con socios del proyecto.

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Efficient

Quality Rating:

12. Adequate resources have been mobilized to achieve intended results. If not, management decisions were taken to a

- Yes
- No

Evidence:

Para el año 2019 se realizó una solicitud ante el Fondo de Consolidación de la Paz (PBF) para una extensión con costo para 18 meses del proyecto. A pesar de los grandes avances en la consecución de los derechos de justicia de transición en los últimos años, existen riesgos coyunturales que podrían retroceder los avances. Es necesario y estratégico continuar consolidando el apoyo a procesos centrales para los derechos humanos en el Ministerio Público – incluyendo el mayor uso de archivos de la antigua Policía Nacional en investigaciones - en la identificación y dignificación de las víctimas, así como en escalar la participación de la sociedad civil, y especialmente de las y los jóvenes como agentes para la paz como medida de no-repetición, entre otros procesos.

Por lo tanto, con el fin de afianzar y escalar los resultados alcanzados durante el proyecto, y fortalecer el apoyo articulado tanto a instituciones del Estado como a organizaciones de la sociedad civil en favor de la verdad, la justicia, la reparación y la no-repetición, se solicitó la aprobación de una extensión de este proyecto para un periodo de 18 meses con fondos adicionales la cual fue aprobada por el Secretario.

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#	File Name
1	ProdocPBFJusticiadeTransicionvf_3512_212 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/ProdocPBFJusticiadeTransicionvf_3512_212.pdf)

13. Are project inputs procured and delivered on time to efficiently contribute to results?

- 3. The project has an updated procurement plan. Implementation of the plan is on or ahead of schedule. The project inputs in a timely manner and addresses them through appropriate management actions. (all must be true)
- 2: *The project has an updated procurement plan. The project annually reviews operational bottlenecks to procuring appropriate management actions. (all must be true)*
- 1. The project does not have an updated procurement plan. The project may or may not have reviewed operational however management actions have not been taken to address them.

Evidence:

El proyecto cuenta con un plan de adquisiciones actualizado una vez al año.

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14. Is there regular monitoring and recording of cost efficiencies taking into account the expected quality of results?

- 3: There is evidence that the project regularly reviews costs against relevant comparators (e.g., other projects or cost benchmarks) and the project maximizes results that can be delivered with given resources. The project actively coordinates with other projects to ensure complementarity and seek efficiencies wherever possible (e.g. joint activities.) (both must be true)
- 2: *The project monitors its own costs and gives anecdotal examples of cost efficiencies (e.g., spending less to get the same quality of results and no link to the expected quality of results delivered. The project coordinates activities with other projects to ensure complementarity and seek efficiencies wherever possible (e.g. joint activities.) (both must be true)*
- 1: There is little or no evidence that the project monitors its own costs and is considering ways to save money beyond the budget.

Evidence:

Las actividades del proyecto se han implementado de manera articulada con otros proyectos de PAJUST, PNUD y de otros donantes para maximizar su eficiencia.

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No documents available.		

Effective

Quality Rating:

15. Is the project is on track to deliver its expected outputs?

- Yes
- No

Evidence:

Para el año 2019 el Proyecto alcanzó el siguiente progreso acumulado:

Producto 1.1: 132% de cumplimiento de metas.

Producto 2.1: 50% de cumplimiento de metas.

Producto 2.2: 60%

Producto 3.2: 71%

Producto 3.3: 2%

Producto 4.1: 33%

Producto 4.2: 199%

A estos productos ya se le han sumado las metas correspondientes a la extensión con costo del proyecto.

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#	File Name
1	GTM00107551PBFIRF189ProJusticiaTransicionReporteAnual2019_3512_215 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/GTM00107551PBFIRF189ProJusticiaTransicionRe)

16. Have there been regular reviews of the work plan to ensure that the project is on track to achieve the desired results?

- 3: Quarterly progress data has informed regular reviews of the project work plan to ensure that the activities implemented are on track to achieve the desired results. There is evidence that data and lessons learned (including from evaluations and/or After Action Reviews) have been used to inform the review(s). Any necessary budget revisions have been made. (both must be true)
- 2: There has been at least one review of the work plan per year to assess if project activities are on track to achieve the desired results. There is evidence that data or lessons learned has been used to inform the review(s). Any necessary budget revisions have been made.
- 1: While the project team may have reviewed the work plan at least once over the past year to ensure outputs are on track to achieve the desired development results. Select this option also if no review of the work plan by management has taken place.

Evidence:

Durante el año 2019 se realizaron dos Juntas de Proyectos, con el objetivo de realizar una evaluación del desempeño del proyecto en términos sustantivos y financieros, tomando como base el informe anual 2018 y semi anual 2019, así como el monitoreo de las actividades implementadas según el Plan de Monitoreo 2019.

List of Uploaded Documents	
#	File Name
1	00106785MinutaJuntaProyectoMarzo2019_3512_216 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/00106785MinutaJuntaProyectoMarzo2019_3512_216.doc)
2	AgendaJuntadeProyectoMayo2019_3512_216 (https://intranet.undp.org/apps/ProjectQA/QAFormDocuments/AgendaJuntadeProyectoMayo2019_3512_216.doc)

17. Are targeted groups being systematically identified and engaged, prioritizing the marginalized and excluded, to ensure

- 3: The project is targeting specific groups and/or geographic areas, identified by using credible data sources on the development opportunities relevant to the project's area of work. There is clear evidence that the targeted groups are regularly with targeted groups over the past year to assess whether they are benefiting as expected and adjustments (true)
- 2: The project is targeting specific groups and/or geographic areas, based on some evidence of their capacity needs and opportunities relevant to the project's area of work. Some evidence is provided to confirm that project beneficiaries have some engagement with beneficiaries in the past year to assess whether they are benefiting as expected. (all must be true)
- 1: The project does not report on specific targeted groups. There is no evidence to confirm that project beneficiaries have some engagement with beneficiaries to assess whether they are benefiting as expected. There may have been some engagement with beneficiaries to assess whether they are benefiting as expected, but it has been limited or has not occurred in the past year.
- Not Applicable

Evidence:

Además de un enfoque temático sobre justicia de transición, el proyecto ha definido un enfoque territorial para su implementación, basándose en la alta concentración de víctimas del EAI en El Quiché, Alta y Baja Verapaz.

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No documents available.		

Sustainability & National Ownership

Quality Rating:

18. Are stakeholders and national partners fully engaged in the decision-making, implementation and monitoring of the

- 3: Only national systems (i.e., procurement, monitoring, evaluation, etc.) are used to fully implement and monitor the project and actively engaged in the process, playing a lead role in project decision-making, implementation and monitoring
- 2: National systems (i.e., procurement, monitoring, evaluation, etc.) are used to implement and monitor the project and project systems) may also be used if necessary. All relevant stakeholders and partners are fully and actively engaged in decision-making, implementation and monitoring. (both must be true)
- 1: There is relatively limited or no engagement with national stakeholders and partners in the decision-making, implementation and monitoring.
- Not Applicable*

Evidence:

El proyecto es ejecutado bajo la modalidad DIM.

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19. There is regular monitoring of changes in capacities and performance of institutions and systems relevant to the project and have been adjusted according to changes in partner capacities.

- 3: In the past two years, changes in capacities and performance of institutions and systems have been comprehensively monitored using appropriate methods of data collection and credible data sources including relevant HACT assurance activities. Implementation and monitoring have been adjusted, if needed, in agreement with partners according to changes in partner capacities. (both must be true)
- 2: In the past two years, aspects of changes in capacities and performance of relevant national institutions and systems have been monitored using appropriate and reasonably credible data sources including relevant HACT assurance activities. Some adjustment has been made to implementation arrangements according to changes in partner capacities. (both must be true)
- 1: Some aspects of changes in capacities and performance of relevant national institutions and systems may have not been monitored. Also select this option if changes in capacities and performance have not been monitored by the project.
- Not Applicable*

Evidence:

El proyecto es ejecutado bajo la modalidad DIM.

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20. The transition and phase-out arrangements are reviewed regularly and adjusted according to progress (including financ

- 3: The project's governance mechanism has reviewed the project's sustainability plan, including arrangements for tran in meeting the requirements set out by the plan. The plan has been adjusted according to progress as needed. (both
- 2: *There has been a review of the project's sustainability plan, including arrangements for transition and phase-out, to requirements set out by the plan.*
- 1: The project may have a sustainability plan, but there has not been a review of this strategy since it was developed. sustainability strategy.

Evidence:

El proyecto promueve la institucionalización de los procesos a través de una serie de herramientas y procesos diseñados para las instituciones (OJ y MP) así como para el AHPN, como medidas de sostenibilidad.

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QA Summary/Project Board Comments

